

## **House of Representatives**

## File No. 1022

## General Assembly

January Session, 2019

(Reprint of File No. 478)

House Bill No. 6935
As Amended by House Amendment
Schedule "A"

Approved by the Legislative Commissioner May 31, 2019

# AN ACT CONCERNING THE RIGHT OF A PUBLIC EMPLOYEE TO JOIN OR SUPPORT A UNION.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective October 1, 2019) (a) Except as otherwise
- 2 provided in this section, a public employer shall provide the exclusive
- 3 union representative of a public employee union, in an editable digital
- 4 file format, when reasonable and agreed to by the public employer and
- 5 exclusive union representative, the following information if on file
- 6 with the employer: Name, job title, department, work location, work
- 7 telephone number and, subject to section 1-217 of the general statutes,
- 8 the home address of any newly hired employee. The public employer
- 9 shall provide the exclusive union representative such information,
- 10 when reasonable, with real-time electronic transmission of new hire
- 11 data but in no event later than ten days after such employee is hired or
- 12 not later than the first pay period of the month following the hiring of
- 13 such employee. For purposes of this section, "public employer" means
- 14 (1) "employer", as defined in section 5-270 of the general statutes, (2)
- 15 "municipal employer", as defined in section 7-467 of the general
- statutes, and (3) local and regional boards of education.

(b) (1) Each public employer shall provide the exclusive union representative of a public employee union with access to its new employee orientations. The public employer shall give the exclusive union representative not less than seven days' written or electronic notice in advance of such an orientation, except a shorter notice may be provided in any instance where there is an urgent need critical to the public employer's operations. The exclusive union representative shall provide the public employer with the physical and electronic address regarding such notice annually by January thirty-first of each year. The structure, time and manner of such exclusive union representative's access shall be determined through a mutual agreement between the parties, subject to the provisions of this subsection. Nothing in this section shall require a public employer to hold an employee orientation if it is the custom and practice of the public employer not to do so.

- (2) Upon request of the public employer or the exclusive union representative, the parties shall negotiate regarding the structure, time and manner of access by the exclusive union representative to a new employee orientation. Failure to reach agreement on such structure, time and manner of such access shall be subject to compulsory interest arbitration pursuant to this subsection. The failure of the public employer and exclusive union representative to reach agreement and the initiation of arbitration pursuant to this subsection shall not stay or otherwise prevent the public employer from proceeding with the employee orientation at issue.
- (3) When negotiating access regarding a new employee orientation pursuant to subdivision (2) of this subsection, if a dispute has not been resolved within forty-five days after the first meeting of the parties or within sixty days after the initial request to negotiate was made, whichever is earlier, either party may make a demand for compulsory interest arbitration. If such a demand is made, any procedure prescribed pursuant to the general statutes shall apply, except that the factors considered by the arbitrator shall be: (A) The ability of the exclusive union representative to communicate with the public

employees it represents, (B) the legal obligations of the exclusive union representative to such public employees, (C) state, federal and local laws that are applicable to the employer and the employees, (D) stipulations of the parties, (E) the interests and welfare of the public and the financial condition and day-to-day operations of similarly situated public agencies, (F) the structure, time and manner of access of the exclusive union representative to a new employee orientation in comparable public agencies, including, but not limited to, access provisions in other memoranda of understanding or collective bargaining agreements containing such provisions, (G) the labor organization's need to meaningfully communicate through cost-effective and efficient means with the public employees it represents, and (H) any other factors that are normally or traditionally taken into consideration in establishing the structure, time and manner of access of the exclusive union representative to a new employee orientation.

- (c) A public employer shall provide the exclusive union representative access to the public employees that such exclusive union representative represents. Such access includes, but shall not be limited to: (1) The right to meet with individual employees on the premises of the public employer during the workday to investigate and discuss grievances, workplace-related complaints, and other workplace issues, (2) the right to conduct worksite meetings during meal periods and during other paid or unpaid breaks, and before and after the workday, on the employer's premises, and (3) the right to meet with newly hired employees within the bargaining unit, without charge to the pay or leave time of the employees, for up to sixty minutes, within thirty calendar days from the date of hire.
- (d) In addition to any labor organization's right to employee information pursuant to the laws of this state or any applicable collective bargaining agreement, beginning on January 1, 2020, every one hundred twenty calendar days, unless more frequent or more detailed lists are required by an agreement between the parties, a public employer shall provide the exclusive union representative, in an editable digital file format when reasonable and agreed to by the

parties, the following information for all negotiations: Each bargaining unit employee's name, job title, worksite location, work telephone number, date of hire, work electronic mail address and, if authorized by the employee via written authorization provided to the exclusive union representative, the employee's home address, home telephone number, personal cellular mobile telephone number and personal electronic mail address if on file with the public employer. Any written authorization required under this subsection may be revoked by the employee at any time and such authorization or revocation shall be provided to the exclusive union representative at either the physical or electronic address provided by such representative pursuant to subdivision (1) of subsection (b) of this section. Any exclusive union representative that obtains information pursuant to this subsection shall not disclose such information to any unaffiliated third party, excluding vendors the exclusive union representative may use for purposes of printing or disseminating communications to members concerning the collective bargaining agreement and related negotiations. It shall be a prohibited labor practice for the exclusive union representative to use or release the information obtained pursuant to this subsection for any purpose other than concerning the collective bargaining agreement and related negotiations. The provisions of section 36a-701b of the general statutes shall apply to any improper release of any personal information, as defined in said section, obtained by the exclusive union representative pursuant to this section. Nothing in this section shall limit the right of an employee to seek additional remedies in court or otherwise for an improper release of information obtained pursuant to this section.

(e) The exclusive union representative shall have the right to use the electronic mail systems of public employers to communicate with bargaining unit members regarding collective bargaining, the administration of collective bargaining agreements, the investigation of grievances, other workplace-related complaints and issues and internal union matters involving the governance or business of the union. Any communications made pursuant to this section shall be

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subject to chapter 14 of the general statutes. The provisions of this subsection shall not limit the rights of a labor organization to communicate with public employees.

- (f) Consistent with the provisions of subsection (c) of this section, the exclusive union representative shall have the right to use state and municipal government buildings and other facilities that are owned or leased by government entities to conduct meetings with bargaining unit members. An exclusive union representative shall have the right to hold such meetings at a reasonable time and place, provided the meetings do not interfere with the public employer's operations. Use of state and municipal government buildings and other facilities that are owned or leased by government entities pursuant to this subsection may be subject to customary and regular charges for such use, if any, as uniformly applied to other persons or entities, consistent with existing policies, if any, and as may be adopted or amended by the government entity.
- (g) The requirements set forth in this section establish the minimum requirements for access to and communication with bargaining unit employees by the exclusive union representative and shall not prevent a public employer from granting the exclusive union representative greater access to or communication with public employees.
- (h) Employees, including retired employees, of a public employer, may authorize deductions, consistent with state and federal law, to be made from their salaries, wages or retirement allowances for the payment of dues in, or for any other service, program or committee provided or sponsored by any employee organization or bona fide association whose membership is comprised, in whole or in part, of employees of the public employer and employees of such organization and which has as one of its objectives improvements in the terms or conditions of employment for the advancement of the welfare of such employees.
- 150 (i) A public employer shall honor employee authorizations created

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or adopted by a labor organization for the deductions described in any form that satisfies the requirements of the Connecticut Uniform Electronic Transactions Act, sections 1-266 to 1-286, inclusive, of the general statutes, including, but not limited to, electronic and voice authorizations that meet the requirements of an electronic signature pursuant to said act.

- (j) Public employers that provide for the administration of payroll deductions authorized by employees for employee organizations shall: (1) Rely on a certification from any employee organization requesting a deduction or reduction that such organization has and will maintain an authorization, signed by the individual from whose salary or wages the deduction or reduction is to be made. An employee organization that certifies that it has and will maintain individual employee authorizations shall not be required to provide a copy of an individual authorization to the public employer unless a dispute arises about the existence or terms of the authorization. The employee organization shall indemnify the public employer for any claims made by the employee for deductions made in reliance on that certification, and (2) direct employee requests to cancel or change deductions for employee organizations to the employee organization, rather than to the public employer. The public employer shall rely on information provided by the employee organization regarding whether deductions for an employee organization were properly canceled or changed, and the employee organization shall indemnify the public employer for any claims made by the employee for deductions made in reliance on such information.
- (k) A labor organization or public employer shall only be liable to each other for any amounts improperly deducted pursuant to this section. No further damages or penalties shall be awarded by any public agency or court. Nothing in this section shall limit the right of an employee to bring a claim or seek any relief against either the public employer or exclusive union representative for amounts improperly deducted.

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(l) Notwithstanding any other provision of this section, a public employer shall be liable to a labor organization, without recourse to the employees, for the full amount of dues that such employer fails to remit to the labor organization, provided the labor organization has complied with the provisions of this section.

- (m) If a dispute arises between the employee and the labor organization regarding the existence, validity or revocation of a payroll deduction authorization, the dispute shall be resolved through a prohibited labor practice proceeding pursuant to sections 5-272, 5-274, 7-470, 7-471 and 10-153e of the general statutes, as applicable.
- (n) A public employer shall not deter or discourage public employees or applicants for public employee positions from becoming or remaining members of an employee organization, or from authorizing representation by an employee organization, or from authorizing dues or deductions to an employee organization.
  - (o) It shall be a prohibited labor practice for a public employer to: (1) Encourage an employee to resign or decline to obtain membership in a labor organization, (2) encourage an employee to revoke authorization for a payroll deduction of dues to a labor organization, (3) knowingly aid any such effort by any other entity, and (4) permit use of the employer's electronic mail system by any entity to discourage membership in a labor organization or discourage authorization of payroll deduction of dues to a labor organization. It shall be a prohibited labor practice for an exclusive union representative to contact an employee who has advised the exclusive union representative, in writing or via electronic mail at the address provided in subdivision (1) of subsection (b) of this section that he or she no longer wishes to be contacted by the exclusive union representative, except that the exclusive union representative may continue to contact any such employee in order to comply with its duty of fair representation under section 5-271 of the general statutes.
- Sec. 2. Section 5-271 of the general statutes is amended by adding

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- subsection (g) as follows (Effective July 1, 2019):
- 217 (NEW) (g) Notwithstanding the provisions of subsections (a) to (f),
- inclusive, of this section, any employee may opt out of membership of
- 219 any employee organization.
- Sec. 3. Subsection (a) of section 5-280 of the general statutes is
- 221 repealed and the following is substituted in lieu thereof (Effective July
- 222 1, 2019):
- 223 (a) If an exclusive representative has been designated for the
- 224 employees in an appropriate collective bargaining unit, each employee
- in such unit who is not a member of the exclusive representative shall
- be required, as a condition of continued employment, to pay to such
- 227 organization for the period that it is the exclusive representative, an
- 228 amount equal to the regular dues, fees and assessments that a member
- is charged, provided any employee who has opted out of membership
- 230 <u>shall not be required to make such payment.</u>
- Sec. 4. Subsection (a) of section 7-468 of the general statutes is
- 232 repealed and the following is substituted in lieu thereof (Effective July
- 233 1, 2019):
- (a) Employees shall have, and shall be protected in the exercise of,
- 235 the right of self-organization, to form, join, not join or assist any
- employee organization, to bargain collectively through representatives
- 237 of their own choosing on questions of wages, hours and other
- 238 conditions of employment and to engage in other concerted activities
- 239 for the purpose of collective bargaining or other mutual aid or
- 240 protection, free from actual interference, restraint or coercion.

This act shall take effect as follows and shall amend the following	
sections:	

Section 1	October 1, 2019	New section
Sec. 2	July 1, 2019	5-271
Sec. 3	July 1, 2019	5-280(a)
Sec. 4	July 1, 2019	7-468(a)

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

#### **OFA Fiscal Note**

State Impact: None

Municipal Impact: None

Explanation

The bill, which provides guidelines for public employers regarding the rights of their employees to join or remain members of a union, has no fiscal impact to the State or municipalities.

House "A" clarified the guidelines that have no fiscal impact.

The Out Years

**State Impact:** None

Municipal Impact: None

#### **OLR Bill Analysis**

HB 6935 (as amended by House "A")\*

# AN ACT CONCERNING THE RIGHT OF A PUBLIC EMPLOYEE TO JOIN OR SUPPORT A UNION.

This bill establishes requirements, subject to certain limitations and conditions, for public employers (i.e., the state, municipalities, and local or regional boards of education) to provide public employee unions with:

- 1. certain information about newly hired and current employees;
- 2. access to new employee orientations (if the parties cannot agree on how to provide such access, the bill requires them to arbitrate over the matter); and
- 3. access to (a) the public employees that they represent and (b) government buildings and facilities to conduct meetings with bargaining unit members.

The bill also establishes certain requirements and criteria related to payroll deductions for dues paid to public employee organizations (e.g., unions). Among other things, these require:

- 1. public employers to rely on an employee organization's certification that it has and will maintain the deduction authorizations signed by the individuals from whose pay the deductions will be made;
- 2. the organizations to indemnify public employers for any employee claims about deductions that relied on that certification; and
- 3. public employers to direct employee requests to cancel or change their deductions to the organization rather than the employer.

The bill prohibits public employers from deterring or discouraging public employees or job applicants from (1) becoming or remaining members of an employee organization, (2) authorizing representation by an employee organization, or (3) authorizing dues deductions to an employee organization. It makes it a prohibited labor practice for (1) public employers to, among other things, encourage an employee to resign or decline membership in a labor organization or (2) public employee unions to contact a public employee who has advised the union that he or she no longer wishes to be contacted.

Lastly, the bill codifies federal case law by explicitly (1) allowing a state employee to opt out of membership in an employee organization; (2) exempting such an employee from paying the organization, as a condition of employment, an amount equal to the regular dues, fees, and assessments charged to a member (i.e., "agency fees"); and (3) giving a municipal employee the right not to join an employee organization.

\*House Amendment "A" replaces the underlying bill (File 478) and, among other things, adds provisions that (1) limit the extent to which public employers must provide employee information; (2) require an employee's authorization before his or her home address and home phone number are provided to a union representative; (3) generally prohibit public employee unions form disclosing the employee information to third parties; (4) allow public employers to charge public employee unions for using government buildings, subject to certain conditions; and (5) codify federal case law on state and municipal employees' ability to opt out of union membership.

EFFECTIVE DATE: October 1, 2019, except that the provisions on state or municipal employees declining union membership are effective July 1 2019.

#### EMPLOYEE INFORMATION

## New Employees (§ 1(a))

The bill requires public employers to provide the exclusive union

representative of a public employee union ("exclusive representative") with certain information about a newly hired employee in an editable digital file format, when reasonable and agreed to by the employer and exclusive representative. The information consists of the new employee's (1) name; (2) job title, department, and work location; (3) work phone number; and (4) home address, subject to the law that prohibits public agencies from disclosing, under the Freedom of Information Act, the residential address of certain types of public employees.

A public employer must provide this information, when reasonable, with real-time electronic transmission of new hire data but no later than (1) 10 days after the employee is hired or (2) before the first pay period of the month after the employee was hired.

### Information for Negotiations (§ 1(d))

Beginning on January 1, 2020, the bill also requires public employers to provide exclusive representatives, in an editable digital file format when reasonable and agreed to by the parties, the following information for all negotiations: each bargaining unit employee's (1) name; (2) job title; (3) worksite location; (4) work phone number; (5) hire date; and (6) work email address. If authorized by the employee via written authorization provided to the exclusive representative, the public employer must also provide the employee's home address, home telephone number, personal cell phone number, and personal email address if on file with the public employer.

A public employer must provide this information (1) every 120 days, unless an agreement between the parties requires more frequent or more detailed lists, and (2) in addition to any other employee information to which a labor organization is entitled.

The bill allows an employee to revoke at any time the written authorization required above. The authorization or revocation must be provided to the exclusive representative at either the physical or electronic address provided by the representative for notices about

orientation access (see below).

The bill prohibits exclusive representatives from disclosing this information to any unaffiliated third party, excluding vendors that the exclusive representative may use for printing or disseminating communications to members about their collective bargaining agreement and related negotiations. The bill makes it a prohibited labor practice for the exclusive representative to use or release the information for any purpose that does not concern the collective bargaining agreement and related negotiations.

Under the bill, the provisions of the law on security breaches of computerized data containing personal information apply to any improper release of any personal information obtained by the exclusive representative under the bill. The bill further specifies that it does not limit an employee's right to seek additional remedies in court or otherwise for an improper release of information obtained under the bill.

#### **NEW EMPLOYEE ORIENTATION ACCESS**

The bill requires public employers to provide exclusive representatives with access to their new employee orientations. The employer must give the exclusive representative written or electronic notice about an orientation at least seven days in advance unless there is an urgent need critical to the employer's operations. The exclusive representative must give the public employer the physical and electronic address where the notice must be sent by January 31 each year.

The bill requires the parties to determine the structure, time, and manner of the exclusive representative's access to an orientation through mutual agreement. It specifies that the bill does not require a public employer to hold an employee orientation if it is not the employer's custom and practice to do so.

The bill requires the parties to negotiate these issues upon either

party's request. Failure to reach an agreement on the issues must be subject to compulsory interest arbitration under the bill. The parties' failure to reach an agreement and initiation of arbitration does not stay or otherwise prevent the employer from proceeding with the orientation at issue.

If they fail to reach an agreement within 45 days after first meeting, or 60 days after the request to negotiate, whichever is earlier, the bill allows either party to demand compulsory interest arbitration. If a party makes such a demand, any procedure prescribed in state law applies, but the arbitrator must consider the following factors:

- 1. the exclusive representative's ability to communicate with the employees he or she represents;
- 2. the exclusive representative's legal obligations to the employees;
- 3. applicable state, federal, and local laws;
- 4. stipulations by the parties;
- 5. the public's interest and welfare and the financial condition and day-to-day operations of similarly situated public agencies;
- 6. the structure, time, and manner of access to new employee orientations in comparable public agencies, including access provisions in other memoranda of understanding or collective bargaining agreements;
- 7. the labor organization's need to meaningfully communicate through cost-effective and efficient means with the employees it represents; and
- 8. any other factors that are normally or traditionally considered when establishing how an exclusive representative may access new employee orientations.

#### EMPLOYEE, PUBLIC BUILDING, AND FACILITY ACCESS

## Employees (§ 1(c))

The bill requires public employers to provide exclusive representatives with access to the public employees that the representatives represent. This includes the right to:

- 1. meet with individual employees on the public employer's premises during workdays to investigate and discuss grievances, workplace-related complaints, and other workplace issues;
- 2. conduct worksite meetings on the employer's premises before and after the workday and during meal periods and other paid or unpaid breaks; and
- 3. meet with a newly hired employee within the bargaining unit, without charge to the employee's pay or leave time, for up to 60 minutes within 30 calendar days after the employee is hired.

#### Buildings and Facilities (§ 1(f))

Consistent with the above provision providing exclusive representatives with access to the public employees, the bill gives exclusive representatives the right to use state and municipal government-owned or -leased buildings and facilities to conduct meetings with bargaining unit members. It gives the representatives the right to hold such meetings at a reasonable time and place, as long as the meetings do not interfere with the public employer's operations. It also allows public employers to charge customary and regular fees for using the buildings and facilities, as long as they are uniformly applied to other people or entities, consistent with existing policies, if any, and as may be adopted or amended by the government entity.

## Email (§ 1(e))

The bill also gives exclusive representatives the right to use public employers' email systems to communicate with bargaining unit members about collective bargaining, administering collective bargaining agreements, investigating grievances, other workplace-related complaints and issues, and internal union matters involving

the union's governance or business. It makes any communications made under the bill subject to the state's Freedom of Information Act. It also specifies that this provision does not limit a labor organization's rights to communicate with public employees.

#### Greater Access Permitted (§ 1(g))

The bill specifies that its provisions establish minimum requirements for an exclusive representative's access to and communication with bargaining unit employees and do not prevent a public employer from granting the representative greater access to or communication with public employees.

#### PAYROLL DEDUCTIONS

#### Deduction Authorizations (§ 1(h)-(j))

The bill allows a public employer's employees and retirees to authorize deductions from their salaries, wages, or retirement benefits to pay dues in or for a service, program, or committee that is provided or sponsored by an employee organization (e.g., union) or bona fide association. The organization's membership must be entirely or partly comprised of the public employer's and the organization's employees and its objectives must include improving the employees' terms or conditions of employment to advance the employees' welfare.

The bill requires public employers to honor the employee deduction authorizations that a labor organization creates or adopts, as long as they are in a form that satisfies the state's Uniform Electronic Transactions Act, including electronic and voice authorizations that meet the act's electronic signature requirements.

Under the bill, public employers that administer employeeauthorized payroll deductions must rely on an employee organization's certification that it has and will maintain authorizations, signed by the individuals from whose pay the deductions will be made. An organization that makes such a certification does not have to provide copies of the authorizations to the public employer unless a dispute arises about an authorization's existence or terms. The

organizations must indemnify the public employer for any claims an employee makes about deductions that rely on the certification.

#### Deduction Cancellations, Changes, or Revocations (§ 1(j))

The bill requires public employers to direct employee requests to cancel or change their deductions to the employee organization rather than the employer. It requires the (1) employer to rely on information provided by the organization about whether the deductions were properly canceled or changed and (2) organization to indemnify the employer for any claims an employee makes about deductions that rely on such information.

#### Liability (§§ 1(k) & (l))

The bill limits a labor organization's or public employer's liability to each other for any amounts improperly deducted. It prohibits a public agency or court from awarding further damages or penalties. It specifies that it does not limit an employee's right to bring a claim or seek relief against either the public employer or exclusive representative for improperly deducted amounts.

The bill also makes public employers liable to labor organizations, without recourse to the employees, for the full amount of dues that an employer fails to remit to the labor organization, as long as the organization complies with the bill's provisions.

## Disputes (§ 1(m))

If a dispute arises between an employee and the labor organization over a deduction authorization's existence, validity, or revocation, the bill requires it to be resolved through a prohibited labor practice proceeding under the state's collective bargaining laws for state, municipal, or board of education employees, as applicable.

#### PROHIBITED LABOR PRACTICES

The bill makes it a prohibited labor practice for a public employer to do the following:

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1. encourage an employee to resign or decline membership in a labor organization,

- 2. encourage an employee to revoke authorization for a payroll deduction of dues to a labor organization,
- 3. knowingly aid any such effort by another entity, and
- 4. allow an entity to use the employer's email system to discourage membership in a labor organization or discourage authorization of payroll deductions for the organization's dues.

It also makes it a prohibited labor practice for an exclusive representative to contact an employee who has advised the representative, in writing or via email, that he or she no longer wishes to be contacted by the representative. However, the representative may contact such an employee to comply with his or her duty of fair representation under the state employee collective bargaining law.

#### COMMITTEE ACTION

Labor and Public Employees Committee

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Joint Favorable
Yea 9 Nay 4 (03/21/2019)
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